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IN THE SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1981

THE CITY OF FORT WORTH, TEXAS, PETITIONER

V.

WALTER E. GARRIS

PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

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QUESTIONS PRESENTED

1. Whether an arrest pursuant to a facially valid warrant absent fraud constitutes a constitutional deprivation?

2. Whether a determination made by an impartial magistrate in electing to issue an arrest warrant based upon an affidavit constitutes probable cause within the meaning of the Fourth Amendment so as to preclude a subsequent jury determination of no probable cause for arrest in a civil rights action for damages pursuant to 42 U.S.C. § 1983?

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The City Attorney of Fort Worth, Texas, on behalf of the City of Fort Worth, Texas, petitioner for a writ of certiorari to review the judgment of the United States Court of Appeals for the Fifth Circuit in this case.

OPINIONS BELOW

The opinion of the Court of Appeals (App. A, infra 1a-43a) is reported at 678 F.2d 1264 (1982). The District Court wrote no opinion and is therefore not reported.

JURISDICTION

The judgment of the District Court (App. D, infra 48a-51a) was entered on the 25th day of March, 1981. The judgment of the Court of Appeals (App. B, infra 44a-45a) was entered on June 24, 1982. On July 15, 1982, the Court of Appeals ordered a stay of the issuance of the mandate to August 8, 1982, if during that period of time there is filed with the Court of Appeals a notice from the Clerk of the Supreme Court that a petition for a writ of certiorari, the stay shall continue until final disposition by the Supreme Court (App. C, infra 46a-47a). The jurisdiction of this Court is invoked under 28 U.S.C. 1254(1).

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

1. The Fourth Amendment to the United States Constitution provides in relevant part:

> The right of the prople to be secure ... and no warrants shall issue, but upon probable cause, supported by oath or affirmation, and particularly describing ... the persons to be seized.

2. 42 U.S.C. § 1983, Civil action for Deprivation of Rights provides:

> Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisidction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia.

STATEMENT

Plaintiff brought suit in the United States District Court under 42 U.S.C. § 1983 seeking damages against Officer G. F. Rowland, a Fort Worth Police Officer, Chief of Police A. J. Brown, and the City of Fort Worth for Constitutional deprivations for false arrest. During the trial plaintiff dismissed all defendants except Officer Rowland and the City. In 1980 the case was tried to a jury. The jury found damages of $25,000, no probable cause for the arrest, the officer had a reasonable good faith belief that his actions were lawful and the officer followed the usual custom, policy and procedure in effectuating the arrest. The District Court gave judgment for damages of $25,000, jointly and severally, against both the police officer and the City. The Court granted expunction of the arrest record. Both defendants appealed to the United States Court of Appeals for the Fifth Circuit. The Appeals Court affirmed the lower

court's order of expunction of the arrest records, affirmed judgment against the City for the arrest without probable cause, held harmless error for the trial judge to allow the plaintiff to go behind the arrest warrant absent pleading and proof of fraud or wilful misrepresentation on the part of the police officer who drew the affidavit leading to the arrest warrant, and reversed the judgment against the police officer based upon his qualified immunity of a reasonable good faith belief that his actions were lawful.

REASONS FOR GRANTING THE PETITION

1. This case sets a precedent of devastating proportions against police officers and local governments. This case holds for the proposition that now an individual may sue a police officer and local government for damages under 42 U.S.C. §1983, for false arrest without probable cause even though the arrest was made pursuant to a facially valid arrest warrant absent allegations of deliberate falsehood or reckless disregard for the truth and on offer of proof as required by Franks v. Delaware, 438 U.S. 154, 98 S.Ct. 2674, 157 L.Ed.2d 667 (1978):

> There is, of course, a presumption of validity with respect to the affidavit supporting the search warrant. To mandate an evidentiary hearing, the challenger's attack must be more than conclusory and must be supported by more than a mere desire to cross-examine. There must be allegations of deliberate falsehood or reckless disregard for the truth, and those allegations must be accompanied by an offer of proof.

Franks, at 171.

If a person may sue for damages in a §1983 action and go behind the arrest warrant to have a jury determine probable cause for the arrest as if the magistrate's determination of probable cause at the time of issuing the arrest warrant had no meaning, then everyone not convicted of a misdemeanor or indicted of a felony would have a cause of action against the police officers and the local government in accordance with Monell v. New York City Department of Social Services, 436 U.S. 658, 98 S.Ct. 2018, 56 L.Ed.2d 611 (1978) and Owen v. City of Independence, Missouri, 445 U.S. 622, 100 S.Ct. 1398, 63 L.Ed.2d 673 (1980). Such an onerous burden of potentially litigating every magistrate's determination to a jury for 42 U.S.C. §1983 and resulting 42 U.S.C. §1988 awards would bring effective law enforcement to a halt. (See the affidavit executed in good faith by an investigating police officer, App. A, 7a-9a infra.)

2. Turning to the issue of Rodriquez v. Ritchey, 556 F.2d 1185 (5th Cir., 1977), cert. den., 434 U.S. 1047, 98 S.Ct. 894, 54 L.Ed.2d 799, should be considered.

Rodriquez:

> First, and most basically, an arrest made under authority of a properly issued warrant is simply not a 'false' arrest, it is a 'true' or valid one. Second, if the facts supporting an arrest are put before an intermediate such as a magistrate or grand jury, the intermediate's decision breaks the causal chain and insulates the initiating party. (emphasis added)

Rodriquez, at p. 1193. The Court rules:

> ...(W)hen one alleges an arrest without unreasonable force under a valid warrant issued pursuant to a properly constituted grand jury indictment, no cause of action is stated under the Fourth Amendment.

Rodriquez, at p. 1194.

The Courts below have failed to follow Baker v. McCollan, 443 U.S. 137, 99 S.Ct. 2689, 61 L.Ed.2d 433 (1979). In Baker the Plaintiff was arrested and incarcerated pursuant to a warrant because of a mistaken identification. In Baker the Court stated:

> Respondent's innocence of the charge contained in the warrant,...is largely irrelevant to his claim of deprivation of liberty without due process of law. The Constitution does not guarantee that only the guilty will be arrested. If it did, §1983 would provide a cause of action for every defendant acquitted--indeed, for every suspect released. Nor are the manifold procedural protections afforded criminal defendants under the bill of Rights 'without limits.' Patterson v. New York, 432 U.S. 197, 208, 53 L.Ed.2d 281, 97 S.Ct. 2319 (1977). 'Due process does not require that every conceivable step be taken, at whatever costs, to eliminate the possibility of convicting an innocent person.' Ibid.
>
> The Fourteenth Amendment does not protect against all deprivations of liberty. It protects only against deprivations of liberty accomplished 'without due process of law.' A reasonable division of functions between law enforcement officer, committing magistrates, and judicial officers - all of whom may be potential defendants in a §1983 action - is entirely consistent with 'due process of law.'

Baker, at page 145.

There simply was no Fourth Amendment violation by the police officer or the City of Fort Worth. The custom and policy the police officer followed was to follow the law.

CONCLUSION

The erroneous determination by the Appeals Court as to the law and the horrendous effect it will have on police officers and local governments indicates the writ of certiorari should be granted.

Respectfully submitted,

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APPENDIX A

WALTER E. GARRIS, Plaintiff-Appellee,

v.

G. F. ROWLAND, Officer, Fort Worth Police Department, and City of Fort Worth, Texas, Defendants-Appellants

No. 81-1215.

United States Court of Appeals,
Fifth Circuit.

June 24, 1982.

Appeal from the United States District Court for the Northern District of Texas.

Before CLARK, Chief Judge, and THORNBERRY and GARZA, Circuit Judges

GARZA, Circuit Judge:

Plaintiff-Appellee, Walter E. Garris, brought this 42 U.S.C. §1983 action against Defendants-Appellants, Officer G. F. Rowland, the Fort Worth Police Department and the City of Fort Worth, Texas, alleging a violation of his civil rights resulting from his unlawful arrest in March, 1978. Garris successfully recovered $25,000 in damages after a jury

trial in the United States District Court for the Northern District of Texas who ordered expunction of all official records reflecting Garris' arrest and detention. Defendants-Appellants now appeal. We affirm in part and reverse in part.

FACTS

It is indeed an understatement to suggest that the record before us discloses an unfortunate set of circumstances bordering on the absurd. This case truly involves a tragedy of errors. Cf. Rodriguez v. Ritchey, 556 F.2d 1185, 1186-88 (5th Cir. 1977) (en banc), cert. denied, 434 U.S. 1047, 98 S.Ct. 894, 54 L.Ed2d 799 (1978). Because of the unique record before us, we set forth the relevant facts in detail.

Day 1: The Incident That Never Was

On the morning of March 8, 1978, an unknown person stopped two fifth-grade female students on their way to Westcreek Elementary

School in South Fort Worth to ask them directions to a nearby high school. This incident was observed by a parent of a student who reported what she saw to the principal of Westcreek, Charles Franklin. Because of problems which had been experienced in that area, Mr. Franklin telephoned Officer Charles Gillespie who was the school district's liaison officer with the Fort Worth Police.[1] Franklin testified that he related to Gillespie the incident involving the conversation between the adult male in a green car and the schoolchildren as it was revealed to him by the parent. He stated that he did not inform Gillespie that any child solicitation had occurred.

Officer Gillespie then contacted his partner, Fort Worth Police Officer G. F. Rowland, and informed him that Franklin had called and revealed that he had received information that someone had attempted to

[1] Gillespie's official position as an employee of the Fort Worth Independent School District was Area Advisor to the court-related office.

pick-up two schoolchildren. Believing a solicitation to have occurred, Gillespie and Rowland proceeded to the Westcreek Elementary area and surveyed the area in an effort to locate the driver of the green car. They did not, however, go to the school to discuss the matter with either Principal Franklin or the schoolgirls, whose identities at this point were unknown. Neither was an attempt made to contact or determine the identity of the parent who had observed the incident. During that day, Principal Franklin made an announcement over the school's intercom requesting the two girls to come forth. The schoolchildren came forth and told their principal that a man had stopped them and had only requested directions to several schools in the area, including a nearby high school; they stated that the person had not tried to get them into his car. Later in the day, after returning from their unsuccessful attempt to locate the suspect, Gillespie telephoned Franklin to

determine the full details of the incident. Contrary to Principal Franklin's testimony, Gillespie stated that Franklin told him that the schoolgirls said the driver had asked them to "show me where the school is." This harmless incident of March 8th set the stage for the sad, though incredible, events upon which this lawsuit is premised.

Day 2: Guilt By Association Assumed

The following morning, on March 9, 1978, Walter Garris travelled to the Westcreek Elementary School area in connection with his employment as a landscape architect-site planner with the Department of Housing and Urban Development. He had been requested to evaluate a site for a proposed construction project, and in performing such an evaluation, he was required, among other things, to measure distances of right-of-way as well as to examine the entire neighborhood. Garris testified at trial and upon polygraph examination that he spoke to no one while making his report.

On this same morning, Joan Massengale was driving her son to school at Westcreek Elementary when she passed the scene where Garris was performing the survey. As she related to Principal Franklin, she observed Garris standing outside his car, and she believed he was talking to two small schoolchildren. She proceeded past the site, made a U-turn, and returned where she obtained the license plate number of Garris' car.[2] She continued to Westcreek Elementary and there informed Franklin of her observations. Franklin again phoned Officer Gillespie to report the incident as it had been related to him. Once again the record testimony conflicts as Officer Gillespie asserts that Franklin indicated to him that "the suspect was back." After his conversation with the principal, Gillespie and Rowland again returned to the

[2] The police incident report written by Officer John Barton indicates that Mrs. Massengale later reported that when she made her U-turn, the suspect walked hurriedly back to his car and appeared to be covering his face.

Westcreek area to see if the suspect could be located. Neither Gillespie nor Rowland went to the school although police officer John Barton spoke with both Franklin and Mrs. Massengale, wrote up an incident report, and ran a check to determine to whom the license plate number was registered. Unlike the previous day, no suggestion was tendered nor attempt was made to determine who the children were or what, if anything, was said to them.

Day 3: The Bad Affidavit

On March 10, 1978, having obtained Garris' name from tracing the license number, Officer Rowland immediately swore out an affidavit to obtain a warrant for Garris' arrest for the offense of child solicitation. In the affidavit which we set forth at the margin,[3]

[3] The uncorrected text of Rowland's affidavit is as follows:

Before me, the undersigned authority, on this day personally appeared, G. F. Rowland, who, after being duly sworn upon his oath deposes and says that he has good reason to believe and does believe that Walter E. Garris did, on the 3rd day of March, 1978, did then and there, knowingly attempt to entice several

Rowland stated the facts as he knew them, but made the crucial mistake of misrepresenting

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[3] Continued
young female children to enter his vehicle with the intent to take them from the protection of parent or guardian. He further states that his reason for believing the same is based upon the following facts:

1. a white male subject was seen by complainant, Joan Massengale, white female, 6532 McCart Ct., at the intersection of 6300 McCart Avenue at Westcreek Dr., talking to several young female children, at 0820 hours 3-9-78.

2. The complainant observed the children suddenly break and run from the subject. The complainant turned around to see what was happening and as she approached the white male, described as white, 6'2", 200 pounds, neat, well dressed, with a fefinate, black mustache, approximately 50 years of age, walked rapidly to his car, described as a green, 4-door, plain (not a lot of chrome) license PJJ-969. Complainant turned around again to get a better look and the subject tried to cover his face with his hands and drove away fast.

3. Complainant reported incident to Mr. Franklin, principal, Westcreek Elementary, who advised police. He stated the same situation with a subject fitting the same description was reported 3-8-78, 0800 hours.

4. Young female students envolved in encounter with the subject, all know to be reliable, can describe the man trying to get them to get into his car. How he asked them the location of other schools, Wedgewood Middle and Southwest High.

5. Same description given by complainant and children of the car and the man.

6. Registration received from Austin, named the subject as Walter E. Garris, and gave address of P.O. Box 153, Colleyville, (508 Field).

certain material facts as being within his personal knowledge. Perhaps the most material misrepresentation was the following:

> Young female students envolved [sic] in encounter with subject, all know [sic] to be reliable, can describe the man trying to get them into his car. How he asked them the location of other schools, Wedgewood Middel [sic] and Southwest High.

Of course, at this point in time, Rowland had spoken to no one involved in these incidents or in the reporting thereof, and thus was unaware that a simple check would have revealed that no one had ever attempted to solicit anyone. Ironically, on this same day, Officer J. P. Terrell was assigned to follow-up on Officer Barton's incident report of the previous day, and he learned that the school

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7. Colleyville Police checked address and found car fitting description also a green Ford with plates PJJ-970, in driveway-registered to Walter E. Garris, Jr.

8. Vehicle fitting description of vehicle PJJ-969, was reported to have been seen outside Trinity Valley Private School just after incident at 6300 McCart, 3-9-78.

Witness my signature this 10th day of March, 1978.

/s/ G. F. Rowland
Affiant

children involved in the March 8th incident had stated that no one had tried to get them into the car. Had Officer Terrell checked with Officer Barton, he would have learned that a warrant was being sought for Garris' arrest. Although the charges were apparently unfounded, Terrell made no attempt to correct the error since department policy called for him to merely submit his follow-up report which he did on March 15, 1978.

Based upon the misrepresentations in the warrant and unaware that material facts contained within were the product of fourth-hand hearsay, a Tarrant County Magistrate issued a warrant for Garris' arrest on March 10, 1978.

Day 4: <u>The "Unfounded" Arrest</u>

During the next few days, the police department was unable to successfully execute the warrant. It is also to be noted that Rowland made no further investigation into the incident during this time. Finally, on the morning of March 14, 1978, it was determined

that the warrant would be executed at Garris' work place, the Dallas Regional Office of HUD. Officer Rowland and Officer Gillespie together with a member of the Fort Worth Fugitive Squad and a Dallas police officer proceeded to the regional office and were informed by a supervisor that Garris could be found at the Dallas Area Office of HUD. Proceeding to the area office, the four officers informed the office director of their warrant for Garris' arrest. Having been called unsuspectingly into the director's office, Garris was told that he was charged with soliciting a youth on March 3, 1978, a date which Rowland had incorrectly supplied on his affidavit. Plaintiff immediately responded that such a charge was mistaken. After talking the police out of handcuffing him, Garris was escorted by the four officials from the office in front of some fifty or so colleagues. He was taken to the Dallas County jail where he was "booked," fingerprinted and arraigned, bond having been

set at $500. He was also permitted to call his home where he informed his daughter of the situation.

Since the incident had occurred in Fort Worth, Garris was transferred by the Dallas Police Department to the custody of Officer Rowland and driven to the Fort Worth Police Department for further processing. In Fort Worth, Garris was again arraigned and bond was set at $1,000. He was informed that his failure to make bond would necessitate his spending the night in jail, and he was not given the opportunity to telephone his home to inform his family of the higher bail or his transfer to Fort Worth. Furthermore, Garris, who suffers from hypoglycemia, an illness which is complicated by stress and the absence of regular meals, had no access to his medication and was not able to eat until Officer Rowland provided him with a hamburger in the late afternoon. Garris was again processed into jail and was placed in a line-up where he

was identified by Mrs. Massengale as the man she saw in the Westcreek area on March 9, 1978, the day after the alleged incident. Following the identification, Garris, who repeatedly maintained his innocence, was interrogated by the police where he learned for the first time that his activities on March 9, 1978, not March 3rd, were the object of the investigation. He still had not been informed of the suspected connection between the March 8th and March 9th reports.

While Garris was being processed at the Fort Worth Police Department, his wife and family remained frantic at home, trying to raise money for bail and waiting to hear from Garris. Finally, in the late afternoon, they proceeded to the Fort Worth Police Department. Having finished interrogating Garris, the police officers determined that he was an unlikely suspect, and they agreed to let him go home for the evening if he would return the following morning to take a polygraph test.

Garris testified at trial that when released to his wife at the jail, Officer Rowland stated that their investigation found him to be "disgustingly clean."

Day 5: The Innocent Man Suffers

On the morning of March 15, 1978, Garris returned to the police station to undergo a polygraph examination. In the course of preparing for the test, Garris learned for the first time from the examiner that the alleged offense took place on March 8, 1978, when Garris was some thirty miles from the Westcreek area. He passed the test satisfying the examiner that he was not in the Westcreek area on March 8th and that he had not spoken with or attempted to solicit a child on March 9th. Garris was subsequently released, and his file in the Fort Worth Police Department was marked "unfounded."

As misfortune would have it, Garris' problems were just beginning. Garris returned to work only to discover that in the short

time since his arrest, news of the incident had spread throughout the various area offices of HUD including Dallas, Oklahoma City, and Tulsa.[4] Phone calls were received from each of these offices, and an inquiry had been launched by the investigating office of HUD into the circumstances surrounding his arrest. Garris was called before the Deputy Regional Administrator of HUD to account for the matter. In an attempt to clear up the confusion, a meeting of the staff of the Dallas Regional Office was called, and Garris related to each of them the unfortunate circumstances. In an effort to stem the calls being received from the field offices, Garris also spoke to a meeting of all regional administrators in the five-state area and again explained the improprieties of his arrest. Officer Rowland also wrote the

[4] Garris' home office was the Dallas Regional Office of HUD which had jurisdiction over a five-state region. Garris was assigned to cover some six offices encompassing all of New Mexico and Oklahoma and approximately three-fifths of Texas.

investigating office of HUD to explain that the arrest was unfounded. Despite these efforts, Garris continued to receive inquiries about the arrest and evidence at trial suggested that the entire affair had no insignificant effect on Garris' physical and emotional stability.

Following his release Garris sought to have all records of his arrest expunged from the files of the Fort Worth Police Department. When these efforts proved unsuccessful, Garris filed this §1983 action requesting relief in the form of $40,000 compensatory damages, $20,000 punitive damages, and pursuant to Article 55.01, Texas Code of Criminal Procedure, the expunction of records reflecting his arrest and detention. After trial on the merits, the jury returned a verdict awarding Garris $25,000 in damages. Judgment was entered awarding such damages and ordering expunction of police records.

ISSUES

Defendants raise several issues for our consideration on appeal. Defendants allege (1) that the evidence presented at trial was legally insufficient to raise a jury issue on the Fourth Amendment violation; (2) that the district court erred in submitting to the jury the question of whether probable cause existed to effectuate an arrest in the absence of any proof or finding that the arrest warrant was legally invalid; (3) that the jury finding that Officer Rowland acted in good faith entitled him to qualified immunity from any personal liability; (4) that plaintiffs failed to establish any custom or policy of the Fort Worth Police Department which resulted in Garris' "unfounded" arrest; and (5) that the district court erred in ordering expunction of the police records. In the context of our analysis below, we review and dispose of each of these issues.

WAS THERE A FOURTH AMENDMENT VIOLATION?

"The first inquiry in any section 1983 suit is whether the plaintiff has been deprived of a right 'secured by the Constitution and laws.'" Baker v. McCollan, 443 U.S. 137, 140, 99 S.Ct. 2689, 2692, 61 L.Ed2d 433, 439 (1979). Where no such deprivation has occurred, we need not inquire further into the state of mind of the defendant to determine if the defendant is somehow entitled to an official immunity. Id. See also Smith v. Gonzales, 670 F.2d 522 at 526 (5th Cir., 1982).

The Fourth Amendment to the United States Constitution provides in relevant part:

> The right of the people to be secure in their persons ... against unreasonable ... seizures, shall not be violated, and no warrant shall issue but upon probable cause, supported by oath or affirmation, ...

"By virtue of its 'incorporation' into the Fourteenth Amendment, the Fourth Amendment requires the States to provide a fair and reliable determination of probable cause." Baker v. McCollan, 443 U.S. at 142, 99 S.Ct.

at 2693, 61 L.Ed2d at 440-41. In the present case, the following special interrogatory was submitted to the jury and answered in the negative:

> Do you find from a preponderance of the evidence that at the time Garris was arrested by Officer Rowland, there was probable cause upon which has arrest was based? [Answer: We do not.]

[1,2] Defendants assert that the district court erred as a matter of law when it submitted to the jury the issue of whether probable cause existed upon which to base Garris' arrest at least in the absence of a judicial finding that the arrest warrant itself was legally invalid.[5] As we discuss, supra, deficiencies in the affidavit were sufficient to invalidate the warrant; thus, even if it were error for the district court not to initially make a finding on its validity, such error was harmless. Additionally, defendant's argument

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[5] We note that defendants did not contemporaneously object to the court's submission to the jury of the probable cause issue. After trial, defendants objected in their Brief and Motion for Judgment, Renewed Motion for Judgment, and Motion for JNOV or New Trial.

ignores the fact that the circumstances leading up to Garris' arrest were substantially disputed. Where there is no conflict in evidence, the court may make its own legal determination of probable cause. See, e.g., Banish v. Locks, 414 F.2d 638 (7th Cir. 1969). But where facts relied upon to show probable cause in a §1983 action are controverted, they must be resolved by the jury before controlling legal principles can be applied. It is axiomatic that the jury is the sole judge of the facts of the case. 47 Am. Jur. 2d Jury §3 (1969).

[3] The district court instructed the jury as following with respect to the question of probable cause:

> You are instructed that probable cause exists where the facts and circumstances within the officers' knowledge and of which they had reasonably trustworthy information are sufficient in themselves to warrant a man of reasonable caution in the belief that an offense has been or is being committed by the persons to be arrested.
>
> You are further instructed that under the Fourth Amendment to the

Constitution of the United States in order for a warrant to form the basis for a lawful arrest an affidavit in support thereof must make affirmative allegations that the affiant spoke with personal knowledge of the matters contained therein or it must indicate the sources for the affiant's belief; and must set forth sufficient basis upon which a finding of probable cause could be made that an offense has been committed and that the person to be arrested committed it. The affidavit may be based on personal observation of the affiant, or hearsay, or a combination thereof.

You are instructed that a peace officer may make an arrest, or an affidavit leading to an arrest warrant, based upon information received from another person if the peace officer believes that person from whom he received his information to be reliable. Additionally, the person from whom the peace officer receives his information does not have to possess personal firsthand knowledge of that information.

At trial, both parties devoted much of their case to resolving the disputed question as to exactly what facts were before Officer Rowland at the time he drafted his affidavit. There was substantial disagreement over what had been related by the parties involved in the March 8th and 9th "incidents" to Principal Franklin to Officer Gillespie to Officer

Rowland. The reliability of the sources for Officer Rowland's beliefs were at issue and the precise facts within Officer Rowland's personal knowledge were disputed. All of these facts were questions for the jury to decide and its resolution of the factual issues effectively disposed of the probable cause question. While submission of the ultimate issue to the jury is not necessarily the preferred method of disposing of the probable cause issue, we do not believe, in light of the instruction and the disputed facts, that the district court erred as a matter of law in this regard. The finding, therefore, that Garris was arrested without probable cause made out the Fourth Amendment violation providing the basis for this §1983 action.

IMMUNITY OF OFFICER ROWLAND

[4-6] We next turn to the question of whether Officer Rowland in his capacity as a police officer for the City of Fort Worth is

immune from liability for damages resulting from the constitutional deprivation. An official, such as a police officer, is entitled to a qualified immunity where he has acted with a good faith belief that his actions were within his lawful authority and that reasonable grounds existed for this belief based upon objective circumstances at the time he acted. Douthit v. Jones, 619 F.2d 527, 534 (5th Cir. 1980); see also Barker v. Norman, 651 F.2d 1107 (5th Cir. 1981). Since qualified immunity is an affirmative defense, it is the duty of the defendant to plead it, Gomez v. Toledo, 446 U.S. 635, 100 S.Ct. 1920, 64 L.Ed.2d 572 (1980), and to establish his entitlement to official immunity. Once the official has established his entitlement by showing that he was acting in his official capacity and within the scope of his discretionary authority, the burden shifts to the plaintiff to breach the official's immunity by showing that the official lacked

"good faith." Barker v. Norman, 651 F.2d at 1121. Good faith consists of both subjective and objective components. Id.; Bogard v. Cook, 586 F.2d 399 (5th Cir. 1978), cert. denied, 444 U.S. 883, 100 S.Ct. 173, 62 L.Ed.2d 113 (1979).

[7] The subjective component of the test "requires that a plaintiff show that the official's action, although labeled as 'reckless' or 'grossly negligent,' falls on the actual intent side of those terms, rather than on the side of simple negligence." Bogard v. Cook, 586 F.2d at 412. In the case before us, the jury, in response to two special interrogatories, found that Officer Rowland (1) had a reasonable good faith belief that his conduct was lawful and (2) did not act with knowledge or with reckless disregard of violating a constitutional right. These jury findings effectively dispose of the "subjective" prong to the test for qualified

immunity. These findings by the jury establish that Officer Rowland did not act maliciously or intentionally when he misstated in the affidavit that someone had attempted to "get [the children] to get into his car" and when he failed to indicate on the affidavit that his information was fourth-hand hearsay.

The objective component of defendant's "good faith" concerns "the reasonableness of the official's actions under clearly established law at the time he acted." Barker v. Norman, 651 F.2d at 1121. In Bogard, we explained this standard as follows:

> [A]n official is liable under section 1983 "if he knew or reasonably should have known that the action he took within his sphere of official responsibility would violate the constitutional rights" of the person affected. The fulcrum ... is the existence, at the time of the official's action, of clearly established judicial decisions that make his action unconstitutional.

586 F.2d at 411 (citation omitted; emphasis added), quoting Wood v. Strickland, 420 U.S. 308, 322, 95 S.Ct. 992, 1001, 43 L.Ed.2d 214, 225 (1975). In a similar manner we have

described this standard stating that qualified immunity is not available to an official whose actions, regardless of his intent, controvert settled, undisputable law. Douthit v. Jones, 619 F.2d at 533; see also, Smith v. Gonzalez, supra.

Plaintiff asserts that Rowland is not entitled to a qualified immunity since his preparation and presentation of the affidavit upon which the warrant issued did not comply with constitutional requirements set forth in Giordenello v. United States, 357 U.S. 480, 78 S.Ct. 1245, 2 L.Ed.2d 1503 (1958) and Aguilar v. Texas, 378 U.S. 108, 84 S.Ct. 1509, 12 L.Ed.2d 723 (1964). Garris raises both facial and subfacial challenges to the validity of the affidavit which he claims, if existing, require a finding that Rowland failed to comport with "settled, undisputable law" and is therefore without immunity to liability. We believe, however, the jury's findings preclude plaintiff from establishing

this claim with respect to Officer Rowland.

[8] We turn first to Garris' facial challenge noting that although we remain aware of the civil nature of this action, our analysis requires us to delve into the annals of criminal law for resolution of this issue. In Aguilar v. Texas, supra, the Supreme Court set forth a two-prong test for evaluating the sufficiency of hearsay affidavits to establish probable cause. Plaintiff asserts that Officer Rowland's failure to fully apprise the magistrate of the basis of his beliefs and his failure to let the magistrate know that he did not have personal information as to the events described in the affidavit precluded the magistrate from making the independent determination as required by Aguilar that probable cause for the issuance of the warrant existed. Inherent within the test of Aguilar is the requirement that the affiant set forth in the affidavit the source of his information, that is to say, whether such information is

the product of personal knowledge or informed sources. Indeed, in Aguilar, the absence of an affirmative allegation that the affidavit was based on personal knowledge of either the affiant or informant vitiated the affidavit's validity. In this case, the affidavit does not reflect with respect to a portion of its contents whether such information was the product of affiant's personal knowledge or the product of hearsay sources.

[9] The failure, however, of the affidavit to comport with Aguilar does not necessarily infer that Officer Rowland failed to comply with settled law so as to deny him qualified immunity. First, we note that an officer is not required to be a grammarian. As the Supreme Court noted in United States v. Ventresca, 380 U.S. 102, 85 S.Ct. 741, 13 L.Ed.2d 684 (1965), "[Affidavits] are normally drafted by nonlawyers in the midst or haste of a criminal investigation. Technical requirements of elaborate specificity once exacted

under common law pleadings have no proper place in this area." Id. at 108, 85 S.Ct. at 746, 13 L.Ed.2d at 689. In this case, the jury found that whatever error Officer Rowland made was the result of innocent or negligent mistake. Indeed the jury's finding of a "reasonable good faith belief" arguably establishes the objective good faith belief we defined in Bogard, quoted infra. Cf. McNamara v. Moody, 606 F.2d 621, 626 n.9 (5th Cir. 1979), cert. denied, 447 U.S. 929, 100 S.Ct. 3028, 65 L.Ed.2d 1124 (1980). Furthermore, the facts established that after Rowland drafted the affidavit, he took it to the district attorney's office for review and approval. Finally, an analysis of Aguilar reveals that it contemplates a dual process whereby the affidavit is presented and the magistrate makes a determination of probable cause. The magistrate is empowered "to conduct a fairly vigorous inquiry into the accuracy of the factual affidavit supporting the warrant. He

may question the affiant, or summon other persons to give testimony at the warrant proceeding." Franks v. Delaware, 438 U.S. 154, 166, 98 S.Ct. 2674, 2681, 57 L.Ed.2d 667, 679 (1978). These independent inquiries of the magistrate may supply the requisite information for fulfilling the requirement which we have labeled as "the essence of Aguilar" - facts which allow the magistrate to determine independently whether probable cause for the issuance of the warrant exist. United States v. Martin, 615 F.2d 318, 323 (5th Cir. 1980). That Aguilar was not complied with in this case does not mean that Officer Rowland himself was responsible for the violation.[6]

[6] Our conclusion in this regard is further supported, we believe, by that fact that we have traditionally held that the magistrate's determination of probable cause breaks the chain of causation and insulates the initiating party from liability. See Smith v. Gonzales, supra; Rodriguez v. Ritchey, supra. Plaintiff asserts that this form of quasi-judicial immunity would permit an officer to prepare an erroneous affidavit in order to obtain a warrant and then "hide behind" the erroneously issued warrant to escape liability for constitutional deprivations. Similar con-

[10] Plaintiff also argues that Rowland is not entitled to a qualified immunity because of the inaccuracies contained within the affidavit; this is a subfacial challenge to the affidavit. Defendants assert that this Court cannot reach this issue since, under the so-called "four corners" rule, a court will not look behind the allegations of an affidavit for an issuance of a warrant. In the context of a criminal proceeding, the Supreme Court, in Franks v. Delaware, supra, recognized the viability of subfacial challenges to affidavits in support of a warrant containing false or misleading statements.[7] The Court held that

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[6] Continued
cerns were also expressed by Judge Hill in his concurring opinion in Rodriguez; however, even Judge Hill recognized that the police officer's actions must be malicious or in bad faith, a situation not presently before us.

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[7] Contrary to plaintiff's assertions that "courts have traditionally, both before and after Franks, when appropriate, examined the basis upon which warrants have issued," the rule in this Circuit now follows that set forth in Franks thereby modifying procedures permitting subfacial challenges prior to

> ...where the defendant makes a substantial preliminary showing that a false statement knowingly and intentionally, or with reckless disregard for the truth, was included by the affiant in the warrant affidavit, and if the allegedly false statement is necessary to the finding of probable cause, the Fourth Amendment requires that a hearing be held at the defendant's request.

Id. at 155-56, 98 S.Ct. at 2676-77, 57 L.Ed.2d at 672. Under Franks, plaintiff's allegations that Rowland intentionally misrepresented certain facts in the affidavit would entitle him to a hearing (one which he substantially received by virtue of the trial), but he would lose on the merits of his challenge since the jury's findings reflect that Officer Rowland acted in good faith and without knowledge or reckless disregard of the fact that he was violating a constitutional right. As we stated in United States v. Martin, 615 F.2d at 329, "negligent omissions will not undermine the affidavit," and in this case, the jury findings indicate that Rowland acted negligently,

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[7] Continued
Franks. See United States v. Martin, 615 F.2d at 328 n. 14; United States v. Astroff, 578 F.2d 133 (5th Cir. 1978) (en banc).

not intentionally or recklessly. Accordingly, neither plaintiff's facial or subfacial challenge to the affidavit warrants a finding that Officer Rowland himself is liable for its deficiencies so as to deny him qualified immunity.

MUNICIPAL LIABILITY

We turn next to the question of whether the defendant City of Fort Worth should be held liable for the unlawful arrest. In Monell v. Department of Social Services, 436 U.S. 658, 98 S.Ct. 2018, 56 L.Ed.2d 611 (1978), the Supreme Court reversed long-standing precedent and found that municipalities were "persons" subject to suit under §1983. We recently summarized the Court's holding as follows:

> In Monell ..., the Supreme Court held that a municipality may be sued for damages under §1983 when "the action that is alleged to be unconstitutional implements or executes a policy statement, ordinance, regulation or decision officially adopted and promulgated by that body's officers" or is "visited pursuant

> to governmental 'custom' even though such custom has not received formal approval through the body's official decisionmaking channels." Thus, Monell imposes liability on municipalities for deprivations of constitutional rights undertaken pursuant to municipal policy, whether officially promulgated or authorized by custom.

Berry v. McLemore, 670 F.2d 30 (5th Cir. 1982). Monell further holds that a municipality may not be held liable "solely because it employs a tortfeasor--or, in other words, a municipality cannot be held liable under 1983 on a respondeat superior theory." Monell, 436 U.S. at 691, 98 S.Ct. at 2036, 56 L.Ed.2d at 636 (emphasis in original). The principal inquiry in an action such as this is whether there existed a municipal policy or custom that, when carried out, inflicted injury upon the plaintiff. Walters v. City of Ocean Springs, 626 F.2d 1317, 1323 (5th Cir. 1980).

In the present case, the following special interrogatories were affirmatively answered by the jury:

> Do you find from a preponderance of the evidence that on the occasion in

> question defendant Rowland was acting in accordance with the custom, policy and procedure of the Fort Worth Police Department in obtaining the warrant for plaintiff's arrest and in executing such warrant? [Answer: Yes]
>
> Do you find from a preponderance of the evidence that the employees of the defendant City of Fort Worth acted in accordance with the custom, policy and procedure of the Fort Worth Police Department in the creation and maintenance of the records reflecting the arrest and detention of plaintiff Garris? [Answer: Yes]

Based upon these findings, the district court entered judgment against the City of Fort Worth. Defendants objected to each of these special interrogatories on the grounds that they were too general and did not inquire whether any city policy or custom brought about the injury to the plaintiff. Arguably, the jury's findings are subject to differing interpretations; therefore, we turn to the record to determine if the evidence supports the district court's entry of judgment against the defendants.

[11] Initially, the evidence establishes, and no party disputes, that the manner in

which and the extent to which the investigation in this case was performed was in full accordance with the custom and policy of the City of Fort Worth Police Department. Chief of Police A. J. Brown and Officer Rowland both testified to this. Secondly, the record reveals that it was the procedure of the police department to obtain a warrant based upon probable cause and to arrest a suspect such as Garris even though further investigation to determine if sufficient facts existed to formally file a charge against the arrestee was contemplated. Although the evidence did not establish that it was the policy of the police department to obtain arrest warrants by making material misrepresentations on supporting affidavits, Chief Brown testified that Rowland's affidavit [although erroneous] comported with department policy both (1) procedurally in terms of the manner in which it was drafted and presented to the magistrate and (2) substantively in terms of the manner in

which information was obtained and related in its contents. The record also reveals that although Officer Terrell, through his follow-up investigation, was aware that no solicitation had occurred, department policy only required that his follow-up report be placed into the computer files. There was no policy or method providing for cross-referencing of information within the department to prevent "unfounded" arrests such as occurred here,[8] nor was there a policy providing for the follow-up investigator (Terrell) to check with the original investigator (Barton), who in this case was aware of Rowland's intention to arrest Garris and could have prevented such action. In

[8] Although the computer filing of reports was one method of cross-referencing, evidence indicated that department policy required no immediacy in drafting and entering these reports into the computer. Officer Terrell's investigation took place on March 10th or 11th, several days before the arrest. His report was not drafted until March 15th, the day after the arrest, and was not entered into the computer until August 11th, three months after the arrest.

summary, the record establishes that during this entire police operation, leading up to Garris' unlawful arrest, numerous mistakes occurred, all of which resulted from various officers carrying out the policies and procedures of the Fort Worth Police Department.

In Monell, the Supreme Court stated that "it is when execution of a government's policy or custom, whether made by its lawmakers or by those whose edicts or acts may fairly be said to represent official policy, inflicts the injury that the government as an entity is responsible under §1983." Monell, 436 U.S. at 694, 98 S.Ct. at 2037, 56 L.Ed.2d at 638. In so holding, that court emphasized that it was not addressing "what the full contours of municipal liability under §1983 may be." Id. We believe the facts of this case support the jury's findings and support the conclusion that the City of Fort Worth is liable to Garris for the damages incurred.

EXPUNCTION OF RECORDS

Finally, defendants assert that the district court erred in granting plaintiff's request for expunction of all arrest records since (1) plaintiff failed to exhaust state remedies in this regard and (2) plaintiff "failed to allege the requisite exceptional circumstances to invoke the court's narrow jurisdiction in this area." The parties stipulated at trial that the facts of this case would support an order of expunction pursuant to Article 55.01, Texas Code of Criminal Procedure.[9] Although Garris pled that the dis-

[9] Article 55.01 of the Texas Code of Criminal Procedure reads as follows:

"Art. 55.01. Right of Expunction.

A person who has been arrested for commission of either a felony or misdemeanor is entitled to have all records and files relating to the arrest expunged if each of the following conditions exist:

(1) an indictment or information charging him with commission of a felony has not been presented against him for an offense arising out of the transaction for which he was arrested or, if an indictment or information charging him with commission of a felony was presented, it has been dismissed and the court finds that it was dismissed because the presentment had been made because of mistake,

trict court had pendent jurisdiction over this claim, defendants assert that the district court could not exercise such jurisdiction since plaintiff had not first presented this claim to a state court thereby exhausting his state remedies. Without citing any authority defendants argue that "if exhaustion is required for habeas corpus, surely it must be required for expunction." We fail to see any merit to defendants' analogy and decline, therefore, to follow it.

[12] The district court properly exercised its discretionary authority to assume pendent jurisdiction over Garris' request for

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[9] Continued
false information, or other similar reason indicating absence of probable cause at the time of the dismissal to believe the person committed the offense or because it was void;
(2) he has been released and the charge, if any, has not resulted in a final conviction and, is no longer pending and there was no court ordered supervision under Article 42.13, Code of Criminal Procedure, 1965, as amended, nor a conditional discharge under Section 4.12 of the Texas Controlled Substances Act (Article 4476-15, Vernon's Texas Civil Statutes); and
(3) he has not been convicted of a felony in five years preceding the date of arrest.

expunction pursuant to state law. It is clear that plaintiff's claim of a constitutional deprivation was a substantial federal claim which predominated the issues and proof at trial; his state claim was secondary. Furthermore, considerations of judicial economy warranted exercise of jurisdiction to avoid requiring plaintiff to bring a separate proceeding in another court to resolve an issue which both parties agreed was controlled and could be disposed of pursuant to Article 55.01. Finally, while this court has declined to assume an equitable or constitutional basis on which to order expunction of criminal records, except in extraordinary circumstances,[10] these cases do not involve a situation where a party was entitled to expunction as a matter of right under pendent state law. They are simply inapposite. The district court did not err in ordering expunction of the arrest records.

[10] See, e.g., Cavett v. Ellis, 578 F.2d 567 (5th Cir. 1978); Rogers v. Slaughter, 469 F.2d 1084 (5th Cir. 1972).

CONCLUSION

The instant case truly presents an unfortunate circumstance where an innocent man was injured by the actions of a policeman attempting to carry out his duty in good faith. It is alarming when one realizes that this unlawful arrest should have never occurred. We recognize that the Constitution does not guarantee that only the guilty will be arrested, Baker v. McCollan, 443 U.S. at 145, 99 S.Ct. at 2695, 61 L.Ed.2d at 442, but neither are the discretionary actions of our police exempt from limited judicial review. In reviewing this case, we have kept in mind the delicate balance between the rights of the citizenry to be protected from uncontrolled, unlawful police action and the necessity that police officers have the discretion and flexibility to act free from the burdens imposed by the threat of civil liability. In accordance with our views expressed herein, the judgment of the district court is AFFIRMED except to the

extent that it imposes liability upon Officer Rowland. We find that Officer Rowland is entitled to qualified immunity.

AFFIRMED IN PART; REVERSED IN PART.

APPENDIX B

UNITED STATES COURT OF APPEALS

FOR THE FIFTH CIRCUIT

No. 81-1215

D. C. DOCKET NO. CA-4-78-145

WALTER E. GARRIS,

Plaintiff-Appellee,

versus

G. F. ROWLAND, OFFICER,
FORT WORTH POLICE DEPARTMENT,
AND CITY OF FORT WORTH, TEXAS,

Defendants-Appellants.

Appeal from the United States District Court
for the Northern District of Texas

Before CLARK, Chief Judge, and THORNBERRY and
GARZA, Circuit Judges.

J U D G M E N T

This cause came on to be heard on the record on appeal and was argued by counsel;

ON CONSIDERATION WHEREOF, it is now here orderd and adjudged by this Court that the judgment of the said District Court in this cause be, and the same is hereby, affirmed in part; reversed in part;

It is further ordered that the appellant, City of Fort Worth, Texas, pay to plaintiff-appellee, the costs on appeal to be taxed by the Clerk of this Court.

June 24, 1982

ISSUED AS MANDATE: OP-JDT-9

APPENDIX C

July 15, 1982

Mr. Richard E. Henderson
Assistant City Attorney
1000 Throckmorton Street
Fort Worth, Texas 76102

No. 81-1215 - Garris vs. Rowland

MANDATE STAYED TO AND INCLUDING AUGUST 8, 1982 ONLY

This Court has this day granted a stay of the issuance of the mandate to the date as shown above. If during the period of the stay there is filed with this office a notice from the Clerk of the Supreme Court that the party who has obtained the stay has filed a petition for the writ in that Court, the stay shall continue until final disposition by the Supreme Court. Upon the filing of a copy of an order of the Supreme Court denying the petition for writ of certiorari, the mandate shall issue immediately under Rule 41, FRAP.

A copy of the opinion, judgment, or Rule 21 Decision, and denial of rehearing are still required by the Supreme Court to be incorporated as an appendix to your petition. Enclosed are copies of the said documents which have been entered in this cause.

Very truly yours,

GILBERT F. GANUCHEAU, Clerk

By: /s/
Deputy Clerk

Encls.

cc: Mr. Don Gladden

APPENDIX D

IN THE UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF TEXAS

FORT WORTH DIVISION

WALTER E. GARRIS	§	
VS.	§	CA-4-78-145-K
OFFICER G. F. ROWLAND,	§	
ET AL.	§	

J U D G M E N T

On the 12th day of March, 1980, the above styled and numbered cause being duly and regularly set for trial, came on to be heard, and all parties by and through their duly authorized attorneys announced ready for trial. Prior to submitting the case to the jury the parties agreed and stipulated that defendant A. J. Brown be dismissed from this suit. At the conclusion of the evidence, the Court submitted the case to the jury and the charge in the Court, including the verdict of the jury, is incorporated herein. Following the reading of the verdict of the jury in open

Court, the Court directed the filing of post-trial briefs and upon consideration of such briefs and a decision having been duly rendered, the Court makes and enters the following findings and orders:

DAMAGES

IT IS ORDERED AND ADJUDGED that defendant A. J. Brown be in all things dismissed from this suit.

IT IS ORDERED AND ADJUDGED that the plaintiff Walter E. Garris recover of the defendants G. F. Rowland and the City of Fort Worth, jointly and severally, the sum of $25,000.00 with interest thereon at the rate of nine percent per annum as provided by law and his costs of action.

EXPUNCTION

The Court finds that the plaintiff meets the conditions requisite for expunction of criminal records under Chapter 55 of the Texas Code of Criminal Procedure and further finds that the City of Dallas has delivered their

files and records on the plaintiff to this Court and that the Department of Public Safety of the State of Texas has returned their files and records on this plaintiff to the defendant City of Fort Worth and that pursuant to this Court's pendent jurisdiction expunction of such records should be ordered.

IT IS THEREFORE FURTHER ORDERED AND ADJUDGED that in accordance with this Court's pendent jurisdiction and Chapter 55 of the Texas Code of Criminal Procedure, the records of the incident made the basis of this suit be expunged and the Clerk of this Court shall send a certified copy of this order by certified mail, return receipt requested, to the defendant City of Fort Worth and Fort Worth Police Department. Upon receipt of such order, the defendant City of Fort Worth and Fort Worth Police Department shall:

1) Return all records and files that are subject to this expunction order to this Court or, if removal is impracticable, obliterate

all portions of the record or file that identify the plaintiff and notifying this Court of its action; and

2) Delete from its public records all index references to the records and files that are subject to this expunction order.

ATTORNEY'S FEES

The Court also further finds that the issue of attorney's fees for the bringing of this action was severed prior to the trial of this case in accordance with Rule 42, Federal Rules of Civil Procedure:

IT IS THEREFORE ORDERED AND ADJUDGED that this Court retain jurisdiction in this matter on the issue of attorney's fees.

IT IS FURTHER ORDERED AND ADJUDGED that all relief specifically prayed for and not addressed herein is expressly denied.

SIGNED this 25 day of March, 1981.

/s/
United States District Judge

FILED
AUG 19 1982
ALEXANDER L. STEVAS,
CLERK

NO. 82-210

IN THE SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1981

THE CITY OF FORT WORTH, TEXAS, PETITIONER

VS.

WALTER E. GARRIS

RESPONDENT'S BRIEF IN OPPOSITION TO PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

Don Gladden
LAW OFFICES OF DON GLADDEN
2814 Avenue D
Fort Worth, Texas 76105
817-531-3667

August, 1982 ATTORNEY FOR RESPONDENT

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IN THE

SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1981

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THE CITY OF FORT WORTH, TEXAS, PETITIONER

VS.

WALTER E. GARRIS

RESPONDENT'S BRIEF IN OPPOSITION TO PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

Respondent, Walter E. Garris, respectfully prays that the Petition for a Writ of Certiorari to the United States Court of Appeals for the Fifth Circuit be in all things denied, and for grounds would show the following:

STATEMENT OF THE CASE

The Statement of the Case contained in the petition is correct.

REASON FOR DENYING WRIT OF CERTIORARI

The Judgment and Opinion of the Court of Appeals for the Fifth Circuit is consistent with and in accordance to prior holdings of this Court.

The Judgment and Opinion of the Court of Appeals for the Fifth Circuit are not contrary to, or inconsistent with, the holdings of this Court in Baker v. McCollan, 443 U.S. 137 (1979) and Franks v. Delaware, 438 U.S. 154 (1978).

> "The Fourteenth Amendment does not protect against all deprivations of liberty. It protects only against deprivations of liberty accomplished 'without due process of law'." (Emphasis Ours), Baker, supra, at 145.

"Due Process" requirements of an affidavit to obtain a warrant were established by this Court long ago in

Aguilar v. Texas, 378 U.S. 108 (1964), and Giordenello v. United States of America, 357 U.S. 480 (1958). The Court of Appeals for the Fifth Circuit properly found that the affidavit upon which the warrant for Respondent's arrest was predicated, was facially invalid in that it did not comply with those due process requirements mandated by this Court in Aguilar and Giordenello.

As the Court of Appeals found the affidavit supporting the arrest warrant to be facially invalid, this Court's holding in Franks v. Delaware, supra, has no application.

Likewise, Rodriquez v. Ritchey, 556 F.2d 1185 (cited by Petitioner) has no application:

> "...(W)hen one alleges an arrest without unreasonable force under a valid warrant issued pursuant to a properly constituted grand

> jury indictment, no cause of action is stated under the Fourth Amendment." (Emphasis Ours), Rodriquez, supra, at 1194.

As this Court reflected in Giordenello,

> "A warrant of arrest can be based upon an indictment because the grand jury's determination that probable cause existed for the indictment also establishes that element for the purpose of issuing a warrant for the apprehension of the person so charged. Here, in the absence of an indictment, the issue of probable cause had to be determined by the Commissioner, and an adequate basis for such a finding had to appear on the face of the complaint." (Emphasis Ours), Giordenello, supra, at 487.

CONCLUSION

Inasmuch as the Judgment and Opinion of the Court of Appeals for the Fifth Circuit is consistent with and in accordance to prior holdings of this Court and the due process requirements of the Fourteenth Amendment of the United States Constitution were not complied

with, the Petition for Certiorari should be denied.

Respectfully submitted,

LAW OFFICES OF DON GLADDEN
2814 Avenue D
Fort Worth, Texas 76105
817-531-3667

By: Don Gladden
DON GLADDEN

ATTORNEY FOR RESPONDENT

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing Respondent's Brief in Opposition to Petition for a Writ of Certiorari to the United States Court of Appeals for the Fifth Circuit has been placed, postage prepaid, in the United States First Class Mail on this the _____ day of August, 1982, addressed to Wade Adkins, City Attorney, 1000 Throckmorton Street, Fort Worth, Texas 76102.

Don Gladden
DON GLADDEN